

## Outsourced Services Menu

### Middle Office

#### Reconciliation of trades, positions, and cash balances, and cash activity against clearing counterparties (Prime Brokers, Custodians, Futures Commission Merchants, ISDA Counterparties)

- Daily comprehensive analysis of reconciliation issues across all 3rd party accounts
- High-level summary of action items

#### Break Resolution and Counterparty Communication for all Reconciliation Issues

- Proactively engage counterparties to identify and correct reconciliation issues of any size
- Global "follow-the-sun" service model ensures that breaks are investigated and all parties notified before start of respective trading day

#### T-0 Trade Affirmation

- Proactively engage 3rd parties to affirm trade date T+0

#### Processing Equity Swap Settlements

- Confirmation of settlement amounts with ISDA counterparties
- Booking equity swaps resets in Integráta
- Booking adjustments as necessary to reconcile cash flows

#### Position Lifecycle Events

- Swap resets
- Option Exercise/Assignment
- NDF Fixing
- Position Transfers

#### Swap Borrow Financing Maintenance and Reconciliation

- Tier 1: Loading financing rates from PBs, Reconciling accruals daily
- Tier 2: Month-end booking of swap financing P&L at the position level
- Tier 3: Month-end booking of a swap financing P&L and the fund level

#### OTC Collateral Management

- CSA Configuration
- Issuing/Responding to Margin Calls
- Dispute Management and Resolution

#### Transaction Posting Workflow to Clear Cash Activity Breaks

- After obtaining client approval cash activity recs are paired with optional cash posting to resolve breaks
- Post transactions in Integráta on client's behalf

#### Trade Reporting to 3rd Parties & Downstream Systems

- Proactively send trade and PB files
- Ad-hoc jobs per client specification

#### Corporate Action Processing & Verification

- Posting of voluntary corporate actions or spinoff (client must elect posting)

#### Trade Booking for Voice, OTC, or Other Manual Workflows

- Posting of manual trades per client specification

### Back Office

#### Reconciliation of Positions and P&L

- Against Fund Administrators

#### Trial Balance Reconciliation against Fund Admins

- Bottom-up reconciliation approach for identifying economic differences in the balances and P&L combined with a top-down reconciliation of the full trial balance across disparate charts of accounts

#### Break Resolution and Fund Admin communication

- For issues identified in reconciliations

#### Non-trading accruals and cash payments

- Booked with client's permission

#### One-time Services:

- Tax lot maintenance and repair
- Historical Activity loading and reconciliation